533 Rec'd PCT/PTO 10 AUG 2001

| FORM PTO-1390 (REV 11-2000) | | | U.S. DEPARTMENT OF COL | | | | | ATTOR DOCKET NUMBER 35-213 | | | | | |
|---|---------------------------|---|--|----------------|----------|------------------|-----------------------|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES U.S. A | | | | | | | | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | | | | | | |
| INTE | RÑÂ | | APPLICATION NO. | | ATIONAL | FILING DATE | | PRIORITY DATE CLAIMED | | | | | |
| | | PCT/EI | P99/09759 | /9'' - | (C) 3 D | ecember 1999 | <u> </u> | 12 February 1999 | | | | | |
| TITLE OF INVENTION (AUG 1 0 2007 E) VIRAL VACCINE | | | | | | | | | | | | | |
| A DE | APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US TRADEMATE SCHREIBER | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | \boxtimes | The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | | | | | | | |
| 5. | A co | opy of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | a. | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | b. | has been communicated by the International Bureau. | | | | | | | | | | | |
| | c. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | a. | ⊠ i | is attached hereto | • | | | | | | | | | |
| | b. | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 7. | \boxtimes | Amen | dments to the clai | ms of the Inte | rnationa | al Application u | nder <u>PCT Artic</u> | <u>cle 34</u> | | | | | |
| | a. | are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | b. | ⊠ l | | | | | | | | | | | |
| | C. | | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| | d. | | have not been made and will not be made. | | | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | | |
| 10. | \boxtimes | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| | Iten | ns 11 T | o 20 below conc | ern documer | nt(s) or | information in | cluded: | | | | | | |
| 11. | | An Inf | formation Disclosu | re Statement | under 3 | 37 C.F.R. 1.97 a | and 1.98. | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | | | |
| 13. | \boxtimes | A FIRST preliminary amendment. | | | | | | | | | | | |
| 14. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | |
| 16. | | A cha | inge of power of a | ttorney and/or | addres | s letter. | | | | | | | |
| 175 | \boxtimes | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. | | | | | | | | | | | |
| 18. | | A sec | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. | , 🗆 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 20. Cer | ⊠ tificat | | items or informati | | | national Search | Report/ Sequ | ence Listing/ Front page of the PCT Publication/ | | | | | |



518 Rec'd P 10 AUG 2001

| U.S. APPLICATION NO. (Comp. sep 32 C.77R. 1.5) INTERNATIONAL APPLICATION NO. PCT/EP99/09759 | | | | | | | ATTORNEY'S DOCKET NUMBER 35-213 | | | | |
|--|-------------|--------------|-------------|--|--------------------|-----------|---------------------------------|-------------------------|----|--|--|
| 21. The following fe | C/ | LCULATIONS | PTO | USE ONLY | | | | | | | |
| BASIC NATIONAL F Neither internation nor international s | | | • | ************************************** | | | | | | | |
| and International | | | | | | | | | | | |
| USPTO but Intern | | | | | | | | | | | |
| but international s | | | | | | | | | | | |
| International prelii but all claims did | | | | | | | | | | | |
| International prelii and all claims sati | | | | | | | | | | | |
| | \$ | 860.00 | | | | | | | | | |
| Surcharge of \$130.00 for months from the earliest | \$ | 130.00 | | | | | | | | | |
| CLAIMS | NUM | BER FILE |) | NUMBER EXTRA | RA | _ | | | | | |
| Total Claims | 54 | | 20 = | 34 | X | \$18.00 | \$ | 612.00 | | | |
| Independent Claims | 13 | | -3 = | 10 | X 007(| \$80.00 | | 800.00 | | | |
| MULTIPLE DEPENDEN | I CLAIMS | (S) (II appi | licable | TOTAL OF AB | \$270 OVE CALCU | | \$ \$ | 0.00 2402.00 | | | |
| □ Applicant claims sn | nall entity | status Se | e 37 (| CFR 1.27. The fees indica | | LATIONS = | Ψ | 2402.00 | | | |
| are reduced by 1/2. | | oluluo. Oo | | 5, 11 1.27, 1110 1000 maior | | | | 0.00 | | | |
| | | | | | | BTOTAL = | \$ | 2402.00 | | | |
| Processing fee of \$130.0 months from the earliest | | | | sh Translation later than [| 20 30 | - | | 0.00 | | | |
| 'inomina nom mo camest | cidiffica p | nonty date | , (0, 0 | T | OTAL NATIO | NAL FEE = | \$ | 2402.00 | | | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + | | | | | | | | | | | |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 – Small Entity = \$620.00) | | | | | | | | | | | |
| | | | | | TAL FEES EN | | \$ | 2402.00 | | | |
| | | | | | | | | mount to be: | | | |
| | | | | | | | | refunded | \$ | | |
| | | | ····· | | | | | Charged | \$ | | |
| a. A check in the amount of \$2402.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees. A duplicate copy of this form is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application. | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | wy | | | |
| | | · | | | | | | | | | |
| NIXON & VANDERHYE 1100 North Glebe Road, Arlington, Virginia 22201 | | | | | | | | | | | |
| Telephone: (703) 816-40 | off | | | | | | | | | | |
| • | • | | | | NAME | | August 10, 2001 | | | | |
| . a 26,663 REGISTRATION NUMB | | | | | | | | August 10, 2001 ER Date | | | |

Certification of Translation

I, Martin Weber-Quitzau of UEXKÜLL & STOLBERG, Patent Attorneys in Hamburg, Germany, do hereby certify that I am conversant with the English and German languages and am a competent translator thereof, and I further certify that to the best of my knowledge and belief the attached English language document is a true and correct translation made by me of International Application No. PCT/EP99/09759, filed December 3, 1999, as well as new Claims 1 to 54 attached to the IPEA Report of May 11, 2001.

Hamburg, July 25, 2001

Martin Weber-Quitzau